



## INFORMATION DISCLOSURE STATEMENT

Applicant : Eaton et al.  
App. No. : 10/006,867  
Filed : December 6, 2001  
For : SECRETED AND TRANSMEMBRANE  
POLYPEPTIDES AND NUCLEIC ACIDS  
ENCODING THE SAME  
Examiner : Helms, L.  
Group Art Unit : 1642

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing four (4) references that are also enclosed.

This Information Disclosure Statement is being filed with an RCE or within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: July 7, 2004

By: AnneMarie Kaiser

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. GNE.3230R1C1	APPLICATION NO. 10/006,867
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Eaton et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE December 6, 2001	GROUP 1642

JUL 09 2004

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
1	Hanna et al., <u>HER-2/neu Breast Cancer Predictive Testing</u> , <i>Pathology Associates Medical Laboratories</i> , 8:1-2 (1999).	
2	Orntoft et al., <u>Genome-wide Study of Gene Copy Numbers, Transcripts, and Protein Levels in Pairs of Non-invasive and Invasive Human Transitional Cell Carcinomas</u> , <i>Molecular &amp; Cellular Proteomics</i> , 1.1:37-45 (2002).	
3	Hyman et al., <u>Impact of DNA Amplification on Gene Expression Patterns in Breast Cancer</u> <sup>1,2</sup> <i>Cancer Research</i> , 62:6240-6245 (2002).	
4	Pollack et al. <u>Microarray analysis reveals a major direct role of DNA copy number alteration in the transcriptional program of human breast tumors</u> , <i>PNAS</i> , 99(20):12963-12968 (2002).	

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	